# Computationally-informed insights into anhedonia and treatment by $\kappa$ -opioid receptor antagonism

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# <sup>1</sup> Abstract

Anhedonia, the loss of pleasure, is prevalent and impairing. Parsing its computational basis 2 promises to explain its transdiagnostic character. We argue that one manifestation of anhedonia-3 reward insensitivity—may be linked to limited memory capacity. Further, the need to economize 4 on limited capacity engenders a perseverative bias towards frequently chosen actions. Anhedonia 5 may also be linked with deviations from optimal perseveration for a given memory capacity, a 6 pattern that causes *inefficiency* because it results in less reward for the same memory cost. To test 7 these hypotheses, we perform secondary analysis of a randomized controlled trial testing  $\kappa$ -opioid 8 receptor (KOR) antagonism for anhedonia, as well as analyses of three other datasets. We find 9 that anhedonia is associated with deficits in efficiency but not memory, whereas KOR antagonism 10 (which likely elevates tonic dopamine) increases memory and efficiency. KOR antagonism therefore 11 has distinct cognitive effects, only one related to anhedonia. 12

# 13 Introduction

Anhedonia, the loss of pleasure or lack of reactivity to pleasurable stimuli, is observed in many 14 psychiatric illnesses, including major depressive disorder, bipolar disorder, schizophrenia, anx-15 iety disorders, post-traumatic stress disorder, substance use disorders, autism, and attention-16 deficit/hyperactivity disorder [1, 2, 3, 4, 5, 6, 7, 8, 9]. The transdiagnostic character of anhedonia 17 suggests a common mechanism across disorders. The most systematic attempts to formalize this 18 common mechanism have utilized concepts from reinforcement learning [10]. Early models posited 19 that anhedonia corresponds to a reduction in reward sensitivity [11, 12], but the predictions of 20 these models have not been consistently validated, suggesting a more complex picture [13]. Here, 21 we argue that one neglected source of complexity is the interplay between reward sensitivity and 22 cognitive capacity limits. 23

In reinforcement learning theory, states (e.g., stimuli, context) are mapped to actions by a learned policy. The amount of memory needed to store a policy is dictated by the mutual information between states and actions; any physical system (such as the brain) has a limited memory capacity. One implication of limited capacity is reward insensitivity and, thus, some aspects of anhedonia may arise from cognitive resource limitations.

Under capacity limits, policies must be *compressed* by discarding some state information [14, 29 15, 16]. This results in the tendency to reuse frequently chosen actions across multiple states—a 30 form of *perseveration*, the tendency to repeat actions independently of their reinforcement history. 31 The theory of policy compression is normative: it specifies an optimal level of perseveration for 32 a given capacity limit. Empirically, compression strategies may differ, with some policies yielding 33 more reward than others for the same cost. We refer to deviations from optimal perseveration 34 as *inefficiency* because it results in a suboptimal use of finite memory (less reward for the same 35 memory utilization). This phenotype is conceptually distinct from capacity, and can be measured 36 separately. We argue here that capacity and efficiency may be key phenotypes for understanding 37 cognitive disturbances in anhedonia. We show that these can be estimated from behavioral data 38 on a widely used behavioral assay, the Probabilistic Reward Task (PRT), and that they reveal new 39 aspects of anhedonia that would otherwise have been invisible. 40

We also address the underlying neural mechanisms and treatment implications. Our previous 41 work suggested that tonic dopamine should determine the allocation of cognitive resources for 42 task performance based on reward rate [17, 18]. Reduction in tonic dopamine should therefore 43 produce insensitivity of task performance to reward rate [19]. It stands to reason that increasing 44 tonic dopamine should increase reward sensitivity. We demonstrate that this is consistent with the 45 effects of  $\kappa$  opioid receptor (KOR) antagonism, which elevates tonic dopamine [20, 21, 22, 23, 24]. 46 We find that efficiency also increases, suggesting that tonic dopamine may not only determine the 47 amount of resources available but also the efficiency of their allocation. Mechanistically, this might 48 be implemented through dopamine-dependent changes in learning rate for perseveration. Finally, 49 we find that anhedonia is associated with changes in efficiency but not memory, highlighting the 50 clinical utility of distinguishing these computational phenotypes. 51

# 52 **Results**

#### <sup>53</sup> Policy complexity and efficiency in anhedonia after $\kappa$ -opioid receptor antagonism

<sup>54</sup> We performed a secondary analysis of an 8-week, multicenter, placebo-controlled, double-blind,

<sup>55</sup> randomized trial to test the effects of KOR antagonism for anhedonia (Figure 1A) [25, 26]. Because

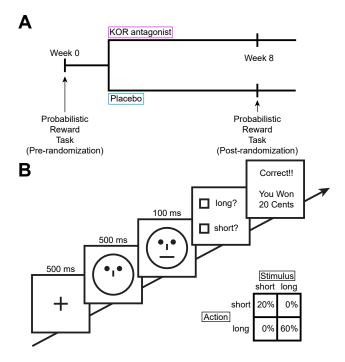
this trial identified a significant treatment effect of KOR antagonism for anhedonia (as measured by

<sup>57</sup> the Snaith-Hamilton Pleasure Scale, SHAPS), we sought to understand the cognitive basis of this

<sup>58</sup> improvement. We analyzed a total of 55 participants (KOR antagonist group: N = 24; placebo

<sup>59</sup> group: N = 31) who completed both a baseline and post-treatment Probabilistic Reward Task

60 (PRT). Owing to previously-reported baseline differences in anhedonia between the two groups



#### Figure 1: Trial and task design.

A) Participants were randomized to 8 weeks of placebo (N = 31) or a KOR antagonist (N = 24) and completed the PRT at baseline and at week 8.

B) On each trial of the PRT, participants fixated on a cross, followed by the presentation of a face without a mouth, followed by either a short (11.5mm) or long (13mm) mouth in the face. Participants responded by pressing one of two keyboard keys and completed 200 trials in two blocks of 100 trials. The bottom right shows an example reward schedule where the long stimulus is rewarded more often than the short stimulus. The mapping between response, stimulus, and reward was counterbalanced between participants.

(mean SHAPS  $\pm$  SD: placebo 33.03  $\pm$  5.54; KOR 37.29  $\pm$  8.89, p = 0.0338), we analyzed the pre-treatment groups separately.

The PRT is a reward-based decision making task that asks participants to discriminate two 63 similar stimuli (Figure 1B) [27, 28]. Unbeknownst to participants, one of the two stimuli yields 64 reward more often than the other when correctly identified. According to the theory of policy 65 compression [16], performance in this task (average reward) depends on the amount of information 66 participants encode about the underlying state (i.e., the stimulus identity), quantified by the mu-67 tual information between states and actions—a participant's *policy complexity*. Each participant is 68 assumed to have a capacity limit (upper bound on policy complexity), which delimits their achiev-69 able performance. If participants maximally utilize their capacity, their average reward should 70 fall along an optimal reward-complexity frontier, as shown in Figure 2A,B. In the PRT, maximal 71 reward can be obtained at a policy complexity of 1 bit, corresponding to a policy that perfectly 72 discriminates the two stimuli. At the other extreme, a subject with no capacity will generate a 73 policy that ignores the stimuli entirely. Participant policies tend to lie close to the optimal frontier, 74 indicating that they are utilizing most of their capacity. At the low end of the policy complexity 75 range, participant policies fall off the optimal frontier (Figure 2F,G), indicating under-utilization 76 of resources (inefficiency)—a pattern also observed in previous studies [15, 29]. 77

At 8 weeks, placebo treatment resulted in a decrease in both policy complexity and reward, while KOR antagonism yielded an increase in both (Figure 2C). This resulted in significant betweengroup differences for both policy complexity (Figure 2D; mean change in policy complexity (posttreatment minus baseline)  $\pm$  SEM: placebo, -0.0245  $\pm$  0.0141; KOR, 0.0281  $\pm$  0.0211, p = 0.0362) and reward (Figure 2E; mean change in reward (post-treatment minus baseline)  $\pm$  SEM: placebo,

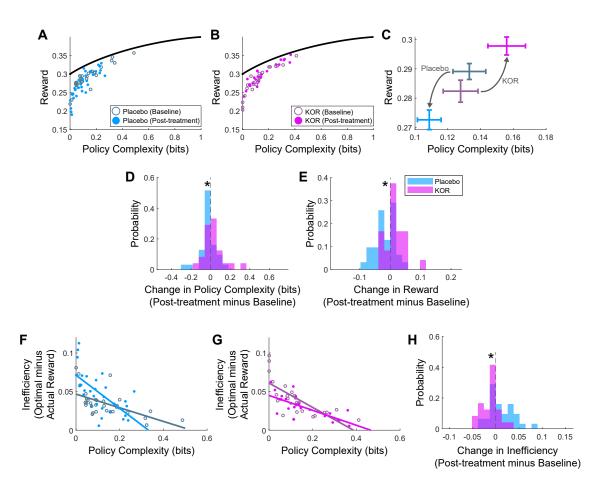


Figure 2: Changes in policy complexity and efficiency as a function of KOR antagonism.

A,B) Reward-complexity relationship for the placebo and KOR groups at baseline and posttreatment. The black line shows the reward-complexity frontier, which indicates the optimal reward as a function of policy complexity.

C) Mean  $\pm$  SEM reward-complexity relationship as a function of treatment (placebo or KOR antagonism) and time (baseline or post-treatment).

D) Change in policy complexity (post-treatment minus baseline) as a function of treatment.

E) Change in reward (post-treatment minus baseline) as a function of treatment.

F,G) Relationship between inefficiency and complexity for the placebo and KOR groups. Overlaid lines are from a linear mixed-effects model fitting inefficiency as a function of policy complexity, treatment, and time.

H) Change in inefficiency (post-treatment minus baseline) as a function of treatment.

<sup>83</sup> -0.0165 ± 5.61 × 10<sup>-3</sup>; KOR, 0.0154 ± 6.53 × 10<sup>-3</sup>,  $p = 4.81 \times 10^{-4}$ ). Following treatment, the <sup>84</sup> KOR group also became significantly more efficient compared to the placebo group (Figure 2H; <sup>85</sup> mean change in inefficiency (post-treatment minus baseline) ± SEM: placebo, 0.0130 ± 4.80 × 10<sup>-3</sup>; <sup>86</sup> KOR, -0.0109 ± 4.04 × 10<sup>-3</sup>,  $p = 5.68 \times 10^{-4}$ ). Thus, KOR antagonism increases average reward <sup>87</sup> through a combination of increasing both policy complexity and efficiency.

Policy compression makes the additional prediction that more complex policies should result in slower response times, since the brain must inspect more bits to find a coded state [16, 18, 30]. Indeed, we found that KOR antagonism, relative to placebo, slowed participants down (mean change in response times (post-treatment minus baseline)  $\pm$  SEM: placebo, -59.3ms  $\pm$  23.4; KOR, 13.6ms  $\pm$  20.4, p = 0.0274).

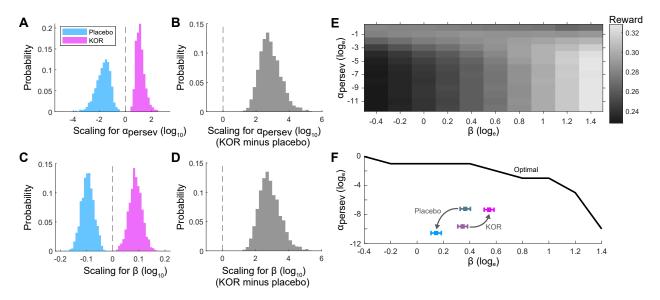
To better understand how KOR treatment changed the relationship between inefficiency and 93 policy complexity, we fit a linear mixed effects model predicting inefficiency as a function of policy 94 complexity, treatment, and time. We identified two relevant effects: a significant treatment  $\times$ 95 time interaction (coefficient = -0.0405,  $p = 4.23 \times 10^{-5}$ ), which has the effect of lowering the 96 intercept, and a significant policy complexity  $\times$  treatment  $\times$  time interaction (coefficient = 0.187. 97  $p = 1.76 \times 10^{-3}$ ), which has the effect of increasing the slope. The combination of the change in 98 intercept and slope has the net effect of increasing efficiency as a function of policy complexity. 90 revealing that KOR treatment increases efficiency independent of its changes to complexity. We 100 will develop this insight further with our reinforcement learning modeling. Overall, these results 101 suggest two orthogonal effects of KOR treatment: increases in complexity and increases in efficiency. 102 Stated another way, participants gain increased cognitive resources and make better use of those 103 resources. 104

#### <sup>105</sup> Reinforcement learning model of KOR antagonism

We developed a cost-sensitive reinforcement learning model to gain insight into how KOR antag-106 onism affects decision making. We adapted a Q-learning model, ubiquitous in the reinforcement 107 learning literature [31]. This model estimates the expected reward associated with each action for 108 each stimulus (called Q-values) and updates these estimates by learning from the outcome (pres-109 ence or absence of reward). Since the optimal policy under policy compression contains a marginal 110 action probability term to engender perseveration (state-independent actions), we augmented our 111 model with a marginal action probability term that was similarly estimated on a trial-by-trial ba-112 sis. Our model contained a reward learning rate,  $\alpha_{\text{learn}}$ , to govern the learning of action values, a 113 perseveration learning rate,  $\alpha_{\text{persev}}$ , to govern the learning of the marginal action probability, and a 114 reward sensitivity parameter,  $\beta$ , that determines the balance between action values and persevera-115 tion in driving behavior. The  $\beta$  parameter is linked to capacity, where higher capacity is associated 116 with higher values of  $\beta$ . Given the structure of our model,  $\beta$  is equivalent to a parameter scaling 117 reward magnitude, as has been posited in anhedonia [12]. 118

To model the effects of treatment, we allowed KOR and placebo to scale these parameters. Based 119 on formal model comparison (Extended Data Table 1), we selected a model that separately scaled 120 the perseveration learning rate,  $\alpha_{\text{persev}}$ , and the reward sensitivity,  $\beta$ , as a function of treatment. 121 We confirmed that our model could recover  $\alpha_{\text{persev}}$  and  $\beta$ , the parameters of interest (Extended 122 Data Table 2). To provide confidence in the ability of our model to capture key characteristics 123 of the data, we first fit the model to participant data and then had the model perform the PRT 124 (using the parameter estimates for each participant) to generate a synthetic dataset (Extended 125 Data Figure 1). This simulated dataset captured all key features of our data (see Supplementary 126 information). 127

Having confirmed that our model could generate realistic data and recover parameters of interest, we turned our attention to parameter estimates to better understand how treatment affected decision making. We found that placebo and KOR antagonism scaled the perseveration learning rate,  $\alpha_{\text{persev}}$  in opposite directions (Figure 3A; posterior 95% credible interval; placebo, -2.96 to -0.82; KOR, 0.61 to 1.96). The difference between KOR antagonism and placebo corresponds to



#### Figure 3: Scaling of reinforcement learning parameters as a function of KOR antagonism.

A) Posterior distribution of parameter values for scaling of  $\alpha_{\text{persev}}$  as a function of treatment. Scaling is multiplicative, where values greater than 0 indicate that treatment increases the parameter value, whereas values less than 0 indicate that treatment decreases the parameter value.

B) Posterior distribution of treatment effect for scaling  $\alpha_{\text{persev}}$ , estimated as the difference in scaling between KOR and placebo.

- C) Posterior distribution of parameter values for scaling of  $\beta$  as a function of treatment.
- D) Posterior distribution of treatment effect for scaling  $\beta$ .
- E) Heatmap showing mean reward obtained as a function of  $\alpha_{\text{persev}}$  and  $\beta$ .
- F) Effect of treatment in parameter space. Black line shows the optimal  $\alpha_{\text{persev}}$  for each value of  $\beta$ .

the net effect of treatment on  $\alpha_{\text{persev}}$ , which was positive and excluded 0, showing that treatment increases perseveration (Figure 3B; difference in posterior 95% credible interval (KOR minus placebo), 1.77 to 4.32). We similarly found that placebo and KOR antagonism scaled the reward sensitivity,  $\beta$ , in opposite directions (Figure 3C; posterior 95% credible interval; placebo, -0.143 to -0.050; KOR, 0.037 to 0.138), with a treatment effect that was positive and excluded 0 (Figure 3D; difference in posterior 95% credible interval (KOR minus placebo), 0.114 to 0.254).

To gain insight into how scaling these parameters affects decision making, we simulated datasets 139 where we only changed parameters of interest (Extended Data Figure 1; Extended Data Table 3). 140 Increasing only  $\alpha_{\text{persev}}$  produces an increase in efficiency and a small decrease in policy complexity. 141 The increase in efficiency manifests as a change in the intercept, but not the slope, of the relationship 142 between inefficiency and policy complexity. Increasing only  $\beta$  produces a relatively large increase 143 in policy complexity, which is consistent with the theoretical link between larger  $\beta$  and increased 144 capacity. It also produces an increase in efficiency for low-complexity policies. Increasing both 145  $\alpha_{\text{persev}}$  and  $\beta$ , like we find for KOR antagonism, produces both an increase in policy complexity 146 and an increase in efficiency. The increase in efficiency manifests as a change in both the intercept 147 (decrease) and the slope (increase) of the relationship between inefficiency and policy complexity, 148 like our empirical findings. 149

<sup>150</sup> We gained insight into the relationship between KOR antagonism and optimal behavior by <sup>151</sup> visualizing the relationship between  $\alpha_{\text{persev}}$ ,  $\beta$ , and reward, while holding  $\alpha_{\text{learn}}$  fixed (Figure 3E). <sup>152</sup> As  $\beta$  increases, for the optimal  $\alpha_{\text{persev}}$ , the net reward obtainable also increases, consistent with <sup>153</sup> our theory linking higher  $\beta$  to higher capacity and higher capacity to greater reward. We also find <sup>154</sup> that increasing perseverative learning is most beneficial at lower values of  $\beta$  (i.e., lower capacity), <sup>155</sup> consistent with the idea that perseveration is increasingly optimal as subjects become more resource

limited. In Figure 3F, we can see that the effect of KOR antagonism is to shift both  $\alpha_{\text{persev}}$  and  $\beta$ closer to an optimal regime. A notable finding is the increased  $\alpha_{\text{persev}}$  at baseline for the placebo group relative to the KOR group. This is consistent with the baseline difference in SHAPS between these groups, with the placebo group having lower SHAPS: the larger  $\alpha_{\text{persev}}$  estimates for this group is closer to the optimal regime and is consistent with less severe anhedonia.

#### <sup>161</sup> Policy complexity and efficiency as a function of hedonic tone

Because the original study identified a significant improvement in the SHAPS following KOR an-162 tagonism [25], we sought to identify which mechanism—increased policy complexity, increased 163 efficiency, or both—is associated with anhedonia. We first examined the relationship between he-164 donic tone and reward learning in a non-clinical population. We recruited 100 participants from 165 Amazon Mechanical Turk and implemented a version of the PRT suitable for online delivery [32]. 166 Participants completed the SHAPS and reported a wide range of scores (mean SHAPS  $\pm$  SD: 11.45 167  $\pm$  6.54, range 0 to 36). We show the reward-complexity relationship in Figure 4A. For visualization 168 purposes only, we perform a median split of participants on the basis of SHAPS. 169

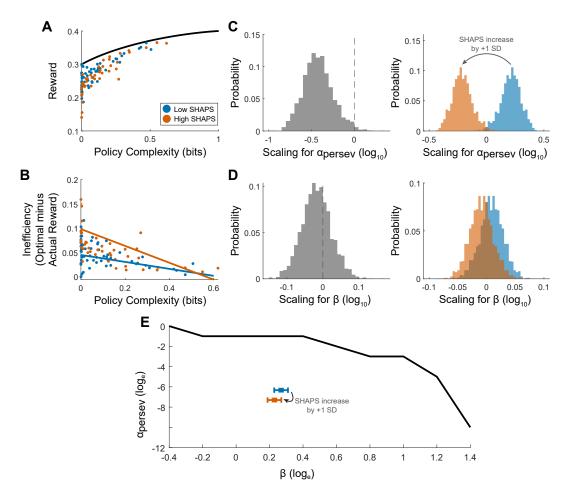
Unlike the effects of KOR antagonism, we found that SHAPS did not predict policy complexity 170 (coefficient =  $-5.24 \times 10^{-3}$ , p = 0.241). We did, however, identify a significant relationship 171 with inefficiency. We fit a linear regression predicting inefficiency as a function of SHAPS and 172 policy complexity and identified a significant intercept change (coefficient for effect of SHAPS =173  $9.55 \times 10^{-3}$ ,  $p = 6.54 \times 10^{-3}$ ) but not a significant slope change (coefficient for SHAPS  $\times$  policy 174 complexity interaction = -0.0182, p = 0.394). Given our simulations exploring the effects of 175 changing parameters (Extended Data Figure 1), a change of intercept without a change of slope is 176 consistent with hedonic tone affecting perseveration  $(\alpha_{\text{persev}})$  and not capacity  $(\beta)$ . 177

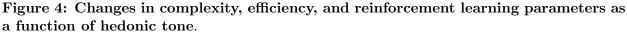
We reanalyzed two prior PRT datasets and found similar effects on the relationship between 178 inefficiency and policy complexity (Extended Data Figure 2). The first was a transdiagnostic 179 sample of patients (control group: N = 25; clinical group: N = 41, 18 with bipolar disorder, 23 180 with major depressive disorder) [33, 34]. These groups differed significantly in baseline anhedonia 181 (mean anhedonic Beck Depression Inventory-II subscore  $\pm$  SD: control, 0.72  $\pm$  1.02; clinical, 5.22 182  $\pm$  3.78,  $p = 2.22 \times 10^{-7}$ ; mean Mood and Anxiety Symptom Questionnaire-Anhedonic Depression 183 subscale  $\pm$  SD: control, 51.5  $\pm$  12.6; clinical, 77.1  $\pm$  19.3,  $p = 1.45 \times 10^{-7}$ ). Consistent with 184 differences in anhedonia, when we analyzed inefficiency as a function of policy complexity and 185 group, we identified a significant intercept difference (coefficient for clinical group =  $5.29 \times 10^{-3}$ . 186 p = 0.022) without a concurrent slope difference (coefficient for policy complexity  $\times$  clinical group 187 interaction  $= -1.71 \times 10^{-3}$ , p = 0.443). We additionally found no difference in policy complexity 188 between the two groups (mean policy complexity  $\pm$  SEM: control, 0.371  $\pm$  0.043; clinical, 0.333  $\pm$ 189 0.024, p = 0.412). 190

The second dataset we analyzed was a test of a longstanding hypothesis relating reduced 191 dopamine to anhedonia [35, 36]. In this double-blinded study, participants received either placebo 192 or low-dose pramipexole—thought to reduce phasic dopamine release—and performed the PRT 193 (placebo group: 13; pramipexole group: 11) [37]. When we analyzed inefficiency as a function of 194 policy complexity and treatment, we identified a significant intercept effect (coefficient for treat-195 ment =  $7.82 \times 10^{-3}$ , p = 0.043) without a significant slope effect (coefficient for policy complexity 196  $\times$  treatment =  $-1.90 \times 10^{-3}$ , p = 0.615). We also found no difference in policy complexity as 197 a function of treatment (mean policy complexity: placebo,  $0.297 \pm 0.043$ ; pramipexole,  $0.319 \pm$ 198 0.057, p = 0.757). 199

#### 200 Reinforcement learning model of hedonic tone

We next fit a reinforcement learning model similar to the one we used for the KOR dataset, except now we allowed  $\alpha_{\text{persev}}$  and  $\beta$  to scale as a function of SHAPS. We found that increases in SHAPS were associated with less perseveration (Figure 4C; posterior 95% credible interval: -0.739 to -





A) Reward-complexity tradeoff as a function of hedonic tone. For illustration only, participants are median split on the basis of SHAPS scores into 'Low SHAPS' (low anhedonia) and 'High SHAPS' (high anhedonia).

B) Inefficiency-complexity relationship as a function of hedonic tone. For illustration only, the color lines are regression fits denoting extremes of SHAPS in our dataset (blue is lowest SHAPS = 0, orange is highest SHAPS = 36).

C) Left: Posterior distribution of parameter values for scaling of  $\alpha_{\text{persev}}$  as a function of SHAPS. Right: An example demonstrating scaling for an increase in SHAPS of 1 SD (from 0.5 SD below the mean (blue) to 0.5 SD above the mean (orange)).

D) Left: Posterior distribution of parameter values for scaling of  $\beta$  as a function of SHAPS. Right: Scaling for the same increase in SHAPS.

E) Effect of variation in SHAPS in parameter space. Black line shows the optimal  $\alpha_{\text{persev}}$  for each value of  $\beta$ .

 $_{204}$  0.046). In contrast, anhedonia had no effect on modulating  $\beta$ , in contrast to KOR antagonism (Figure 4D; posterior 95% credible interval: -0.097 to 0.066). In parameter space, the net effect of an increase in SHAPS is to move participants away from an optimal regime (Figure 4E). Taken together, these data support the notion that hedonic tone spans the axis of efficiency, not capacity.

# 208 Discussion

We leveraged a theory of resource-limited reinforcement learning to shed light on the cognitive structure of anhedonia. Building on prior work demonstrating impairments in reward sensitivity, we decomposed these impairments into separate effects of policy complexity (state-dependence of an action policy) and efficiency (utilization of cognitive resources). We found that KOR antagonism affected both of these measures, whereas anhedonia is associated only with reduced efficiency.

The finding that anhedonia is not associated with reduced complexity is surprising, in part, be-214 cause complexity determines reward sensitivity, and reward insensitivity appears to be the cardinal 215 feature of anhedonia (though see [13] for more nuance). There are a number of explanations for this 216 apparent disconnect. One is that anhedonia may be more psychologically related to the concept 217 of 'liking,' the pleasure associated with reward, rather than 'wanting,' the motivation furnished by 218 reward learning [38], both of which are relevant for anhedonia. In our paradigm, reward sensitivity 219 is related to 'wanting,' which would render the PRT an inappropriate assay to measure deficits 220 in 'liking.' Further, the SHAPS is not designed to disambiguate these different aspects of reward 221 processing, but newer scales such as the Dimensional Anhedonia Rating Scale [39], the Temporal 222 Experience of Pleasure Scale [40], and the Positive Valence Systems Scale [41] provide insight into 223 the multidimensional nature of anhedonia. 224

It is also plausible that anhedonia might be a consequence of reduced reward *learning*, not 225 reduced reward sensitivity [42]. A limitation of our study is that our model could not recover 226 the reward learning rate (Extended Data Figure 1). It is worth noting that our findings seem at 227 odds with an influential reinforcement learning account of anhedonia implicating decreased reward 228 sensitivity as the key causal variable [12]. Interestingly, the parameterization of that model links 229 increased reward sensitivity with increased perseveration. Our model orthogonalizes reward sensi-230 tivity from perseveration, suggesting what was previously identified as blunted reward sensitivity 231 may have been impaired perseverative learning (see Supplementary materials). 232

Under our computational framework, perseveration is closely related to habits, since habits can 233 be similarly thought of as state-independent actions within a particular context [43]. A prediction 234 of our findings is that anhedonia may not only manifest as a deficit in perseveration, but may 235 also manifest as a deficit in habit formation. Intriguingly, recent work on the origin of habits has 236 revealed that they are largely divorced from reward [44]. If true, this would highlight a cognitive 237 deficit in anhedonia unrelated to reward processing. Altogether, our findings motivate a future 238 research program studying habit formation in anhedonia, both important for better understanding 239 this symptom and because it may form the basis of clinically relevant behavioral interventions. 240

The aspect of KOR antagonism which appears to be unrelated to anhedonia (increased policy 241 complexity) suggests relevant clinical utility outside of anhedonia. As one example, we hypothe-242 size KOR antagonism may prove beneficial in treating cognitive deficits in chronic schizophrenia. 243 a clinically-relevant domain with pressing needs for psychopharmacological treatment. Cognitive 244 deficits in schizophrenia are well-established [45] and cognitive deficits are among the strongest 245 predictors of functional outcomes [46]. Despite decades of effort, there are no first-line pharma-246 cotherapies for cognitive symptoms in schizophrenia [45] (though recently-developed muscarinic 247 acetylcholine receptor agonists show promise [47, 48, 49]). We recently demonstrated that patients 248 with chronic schizophrenia have *reduced* policy complexity relative to healthy control participants 249 [29]. It stands to reason that increasing complexity in chronic schizophrenia, perhaps via KOR an-250 tagonism, might treat a subset of cognitive deficits and improve functional outcomes. Although it 251 may seem counterproductive to administer dopaminergic drugs in schizophrenia, numerous studies 252

have shown that dopamine-releasing agents can be safe to administer in this population [50, 51, 52].
Neurobiologically, our finding that KOR antagonism increases complexity is similar to our
previous results following administration of dopaminergic medications in Parkinson's disease [18].
A new subtlety of our findings here is that tonic dopamine may control the efficiency of resource
allocation, a finding that is perhaps related to the role of dopamine in habit formation [53, 54, 55].
Further, anhedonia may be related to more subtle disruptions in the dopaminergic system than
had been previously thought, as more global disruptions would likely reduce complexity as well.

# 260 Conclusion

We leveraged computational principles to identify two mechanisms of action of KOR antagonism one related to anhedonia (increase in efficiency), and one unrelated to anhedonia (increase in policy complexity). We hypothesize that the increase in complexity can be leveraged for other indications, including possibly cognitive deficits in psychosis. Our results provide a clear example of the potential for computational psychiatry to provide transdiagnostic insights that integrate across levels of analysis.

# $_{267}$ Methods

#### <sup>268</sup> KOR antagonism: randomized control trial design and participants

We conducted a secondary analysis of a phase 2a clinical trial designed to test the efficacy of a novel 269  $\kappa$ -opioid receptor (KOR) antagonist for the treatment of anhedonia [25, 26, 56]. The trial was an 8-270 week, multicenter, placebo-controlled, double-blind, randomized study in a transdiagnostic sample 271 of participants with anhedonia. Active drug was JNJ-67953964 (Aticaprant, previously CERC-272 501 and LY2456302), a selective KOR antagonist dosed at 10mg daily. Since this trial used a 273 biomarker-based proof-of-mechanism approach, the preregistered primary outcome was a change in 274 functional magnetic resonance imaging of the ventral striatum during reward anticipation, measured 275 at baseline and 8 weeks. Preregistered secondary outcomes were a change in the mean Snaith-276 Hamilton Pleasure Scale (SHAPS), a clinically-validated measure of anhedonia [57], assessed every 277 2 weeks, and a change in the response bias - a measure of reward learning - on the Probabilistic 278 Reward Task. The trial was preregistered at NCT02218736. We report here a secondary analysis 279 of the Probabilistic Reward Task, which was not part of the preregistered protocol. 280

Participants were aged 21 to 65, recruited from six US centers, had a SHAPS of at least 20 281 (assessed using dimensional scoring guidelines [58]), and had a DSM-IV TR diagnosis of major 282 depressive disorder, bipolar I or II depression, generalized anxiety disorder, social phobia, panic 283 disorder, or post-traumatic stress disorder. Participants were enrolled after providing informed 284 consent to a protocol approved by each local institutional review board. Our dataset for secondary 285 analysis consisted of 55 patients (KOR antagonist group: N = 24 [44%]; mean age  $\pm$  SD, 39.2  $\pm$ 286 13.9 years; 10 males [42%]; placebo group: N = 31 [56%]; mean age  $\pm$  SD, 40.8  $\pm$  13.7 years; 12 287 males [39%]) [26]). 288

#### <sup>289</sup> Non-clinical population: study design and participants

We conducted an online-based study to assess how variation in hedonic tone affects reward learning 290 in a non-clinical population. We recruited 100 participants (mean age  $\pm$  SD, 41.9  $\pm$  11.5; 62 males 291 [62%]) from Amazon Mechanical Turk. We selected our sample size based on an effect size we 292 assumed would be half of what we identified for the KOR dataset ( $f^2 = 0.1297$ ) with a desired 293 power of 90% to maximize the probability of identifying an effect. These participants completed the 294 Probabilistic Reward Task followed by a demographic survey and the SHAPS. Participants gave 295 informed consent, and the Harvard University Committee on the Use of Human Subjects approved 296 the experiment. 297

#### <sup>298</sup> Clinical population: study design and participants

We reanalyzed data from patient populations performing the PRT [33, 34]. The dataset consisted of 66 total participants (control group: N = 25 [38%]; mean age  $\pm$  SD, 38.4  $\pm$  10.8; 14 males [56%]; clinical group: N = 41 [62%]; mean age  $\pm$  SD, 41.9  $\pm$  10.3; 24 males [59%]; 18 with bipolar disorder [44%], 23 with major depressive disorder [56%]). The control participants had no psychiatric diagnosis and were taking no psychoactive medications. In addition to the PRT, participants completed the Beck Depression Inventory-II and the Mood and Anxiety Symptom Questionnaire.

### <sup>306</sup> Pramipexole administration: study design and participants

We reanalyzed data from a double-blind, randomized trial assessing the effect of pramipexole, a D2/D3 receptor agonist, on reward learning in the PRT [37]. Participants (placebo group: 13 [54%]; mean age  $\pm$  SD, 24.8  $\pm$  3.2; 8 males [62\%]; pramipexole group: 11 [46\%]; mean age  $\pm$  SD,  $26.0 \pm 5.8$ ; 6 males [56\%]) were randomized to placebo or pramipexole. In the pramipexole group, participants received a single 0.5mg dose, a low dose thought to act as a dopamine antagonist and

reduce phasic dopamine release. Participants completed the PRT 2 hours after receiving placebo or pramipexole.

#### 314 Snaith-Hamilton Pleasure Scale (SHAPS)

The SHAPS is a 14-item questionnaire used to assess anhedonia across four domains: inter-315 est/pastimes, social interaction, sensory experience, and food/drink. Participants are asked to 316 respond to pleasurable situations (e.g., I would enjoy being with my family or close friends) with 317 one of the following responses on the basis of the last few days: strongly disagree, disagree, agree, 318 strongly agree. According to dimensional scoring guidelines [58], scores range from 1 for strongly 319 agree to 4 for strongly disagree, yielding a range of 14 to 56, with higher scores corresponding to 320 greater anhedonia. The SHAPS is the only clinical measure of anhedonia that significantly changes 321 with treatment in clinical trials [25, 59, 60]. 322

#### 323 Probabilistic Reward Task (PRT)

The PRT is a computerized decision making task designed to elicit learning in response to reward 324 [27, 28]. On each trial, participants observe one of two difficult-to-discriminate stimuli and are asked 325 to report which stimulus they observed. In the clinical trial, stimuli consisted of cartoon faces with 326 either a short mouth (11.5 mm) or a long mouth (13 mm) presented for 100 ms and participants 327 responded by pressing one of two keyboard keys ('z' or '/'). Participants completed 200 trials in 328 two 100 trial blocks, instead of 300 trials as usual, owing to time constraints imposed by the clinical 329 trial [25]. In the online-based task, stimuli consisted of images of either 10 squares/7 circles or 7 330 squares/10 circles (with 8 variations of each) and participants reported whether they observed more 331 squares or circles with one of two keyboard keys ('A' or 'L') [32]. Participants completed 300 trials 332 in three 100 trial blocks. Importantly, and unbeknownst to participants, correctly responding to 333 one stimulus yielded reward on 60% of trials ('rich' stimulus) while correctly responding to the other 334 stimulus yielded reward on 20% of trials ('poor' stimulus). They were instructed that not all correct 335 responses would yield a reward. The rich/poor stimuli and responses were counterbalanced across 336 participants in both studies. For our analyses, we excluded the first 25 trials to allow behavior to 337 stabilize. Our findings were qualitatively similar if we changed this trial exclusion threshold. 338

#### <sup>339</sup> Policy compression: a capacity limit applied to decisions

All information processing systems—the human brain included—must contend with resource limitations when making decisions. These constraints take on many forms, including computational costs [61], metabolic costs [62], interference costs [63], and others [64]. Under policy compression, we formalize the cognitive cost as the mutual information between states and actions, the policy *complexity*:

$$I^{\pi}(S;A) = \sum_{s} P(s) \sum_{a} \pi(a|s) \log \frac{\pi(a|s)}{P(a)}$$
(1)

where  $P(a) = \sum_{s} P(s)\pi(a|s)$  is the marginal probability of choosing action a under the policy. In general, we assume that policies are subject to a capacity constraint, an upper bound, C, on policy complexity. Shannon's noisy channel theorem states that the minimum expected number of bits to transmit a signal across a noisy information channel without error is equal to the mutual information. Therefore, if the optimal policy requires more memory than the subject possesses, then it must *compress* the policy, or render it less state-dependent. We define the optimal policy,  $\pi^*$ , as:

$$\pi^* = \operatorname*{argmax}_{\pi} V^{\pi}, \operatorname{subject} \text{ to } I^{\pi}(S; A) \le C$$
(2)

where  $V^{\pi}$  is the expected reward under policy  $\pi$ :

$$V^{\pi} = \sum_{s} P(s) \sum_{a} \pi(a|s)Q(s,a)$$
(3)

and Q(s, a) is the expected reward for taking action a in state s.

We can express our constrained optimization problem in the following unconstrained Lagrangian form:

$$\pi^* = \operatorname*{argmax}_{\pi} \beta V^{\pi} - I^{\pi}(S; A) - \sum_{s} \lambda(s) \left( \sum_{a} \pi(a|s) - 1 \right)$$
(4)

where  $\beta \ge 0, \lambda(s) \ge 0$  are Lagrangian multipliers. Solving this equation reveals that the optimal policy takes on the following form:

$$\pi^*(a|s) \propto \exp[\beta Q(s,a) + \log P^*(a)] \tag{5}$$

where  $P^*(a)$  is the optimal marginal action distribution, which can be interpreted as a form of perseveration.

The optimal policy takes the form of the familiar softmax distribution, common in the reinforcement learning literature. Here, the Lagrange multiplier,  $\beta$ , plays the role of the inverse temperature parameter. Note that although  $\beta$  typically takes on the role of balancing exploration/exploitation in reinforcement learning, we made no such appeals in deriving this policy. Moreover,  $\beta$  is a function of the policy complexity:

$$\beta^{-1} = \frac{dV^{\pi}}{dI^{\pi}(S;A)} \tag{6}$$

At high policy complexity, when  $\frac{dV^{\pi}}{dI^{\pi}(S;A)}$  is shallow, the optimal  $\beta$  is large and the policy is dominated by *Q*-values. At low policy complexity, the optimal  $\beta$  is close to 0, and *Q*-values have minimal impact on the policy. Moreover, when  $\beta$  is small, the perseveration term,  $\log P^*(a)$ , dominates, and the policy is largely state-independent.

To construct the empirical reward-complexity curves, in both datasets, we computed the average reward according to equation 3, where P(s) = [0.5, 0.5] and  $Q(s, a) = \begin{bmatrix} 0.2 & 0\\ 0 & 0.6 \end{bmatrix}$ , by construction, and  $\pi(a|s)$  was calculated from empirical action frequencies. We estimated mutual information by computing the empirical action frequencies for each state for each session.

#### 373 Reinforcement learning modeling

We constructed a cost-sensitive Q-learning model which contains three parameters ( $\alpha_{\text{learn}}, \alpha_{\text{persev}}$ , and  $\beta$ ) and estimates action values, Q(s, a), and marginal action probability, P(a), to generate actions according to the following policy, mimicking the optimal policy under policy compression:

$$\Delta Q(s, a) = \alpha_{\text{learn}}[r - Q(s, a)]$$
  

$$\Delta P(a) = \alpha_{\text{persev}}[\pi(a|s) - P(a)]$$
  

$$\pi(a|s) \propto \exp[(\beta Q(s, a) + \log(P(a)))]$$

where r = 1 if the current trial is rewarded and 0 otherwise. The key feature of our model is a mechanism that allows treatment to multiplicatively scale  $\alpha_{\text{persev}}$  and  $\beta$  (obtained after model comparison, see below). The model scales parameters in the following manner:

$$\alpha_{\text{persev}} = \alpha_{\text{persev,baseline}} \cdot 10^{s_{\text{persev,treatment}}}$$
$$\beta = \beta_{\text{baseline}} \cdot 10^{s_{\text{beta,treatment}}}$$

A scaling value of 0 results in no scaling, > 0 results in an increase, and < 0 results in a decrease. For our online study, we scaled parameters as a function of the z-scored SHAPS in the following

manner:

$$\alpha_{\text{persev}} = \alpha_{\text{persev,baseline}} \cdot 10^{s_{\text{persev}} \cdot \text{SHAPS}}$$
$$\beta = \beta_{\text{baseline}} \cdot 10^{s_{\text{beta}} \cdot \text{SHAPS}}$$

We initialized Q(s, a) at 0 and P(a) at 0.5 and we assumed scaling terms equaled 0 on sessions without treatment. We included all trials for analysis. Learning rates were constrained not to exceed 1. We constructed hierarchical models to obtain estimates of each parameter. Parameters were drawn from the following distributions:

$$\begin{split} &\alpha_{\rm learn} \sim {\rm Beta}({\rm a}_{\rm learn}, {\rm b}_{\rm learn}) \\ &\alpha_{\rm persev, baseline} \sim {\rm Beta}({\rm a}_{\rm persev}, {\rm b}_{\rm persev}) \\ &\beta_{\rm baseline} \sim {\rm Gamma}({\rm a}_{\rm beta}, {\rm b}_{\rm beta}) \end{split}$$

where we used Cauchy<sup>+</sup>(0,5) as a weakly-informative prior for each parameter. The gamma distribution was parameterized with a shape  $(a_{beta})$  and scale  $(b_{beta})$  parameter. Finally, the scaling terms were drawn according to

> $s_{\text{persev,treatment}} \sim N(0, 1)$  $s_{\text{beta,treatment}} \sim N(0, 1)$

 $\alpha_{\text{learn}}, \alpha_{\text{persev,baseline}}$ , and  $\beta_{\text{baseline}}$  were constrained at the group level (one parameter per participant) and scaling terms were constrained at the treatment level (one parameter per treatment).

We initially fit a model that scaled all parameters ( $s_{\text{learn,treatment}}, s_{\text{persev,treatment}}, s_{\text{beta,treatment}}$ ), 376 which produced an estimate of  $s_{\text{learn,treatment}}$  that did not differ from 0, suggesting that treatment 377 did not effect  $\alpha_{\text{learn}}$ . We therefore compared this 'full' model to the 'reduced' model we present above 378 (which does not scale  $\alpha_{\text{learn}}$ ). We performed model comparison using Pareto-smoothed importance 379 sampling leave-one-out cross-validation to estimate the expected log predictive density, a validated 380 measure of Bayesian model evaluation [65]. We found that our reduced model produced a similar 381 fit. We next compared our reduced model to three simpler variants: one that only scaled  $\alpha_{\text{persev}}$ 382 one that only scaled  $\beta$ , and one with no scaling of any parameters. Model comparison favored the 383 model we present above which scales  $\alpha_{\text{persev}}$  and  $\beta$  (Extended Data Table 1). 384

We next performed posterior predictive checks. We used the mean of each parameter as a point estimate and simulated 200 trials of the PRT for each participant to mimic the dataset that was used to fit the model. We analyzed this simulated dataset in the exact manner we analyzed the ground-truth dataset.

To provide confidence in our interpretation of parameter changes, we tested the ability of our model to recover known parameters. Using the same fictive, simulated dataset as above, we fit our reinforcement learning model and obtained recovered parameter estimates. We computed Pearson's correlation between the known and recovered parameters (Extended Data Table 2).

For our heatmap of reward obtained with different  $\alpha_{\text{persev}}$  and  $\beta$  combinations, we ran 3,000 independent simulations of the PRT for each combination of parameters. We fixed  $\alpha_{\text{learn}}$  at 0.1423, the mean posterior estimate across all participants. We performed a grid search across thirteen logarithmically-spaced  $\alpha_{\text{persev}}$  values from  $e^{-12}$  to  $e^0$ , and ten  $\beta$  values from  $e^{-0.4}$  to  $e^{1.4}$ .

Models were fit using R 4.2.2 (accessed with RStudio 2022.12.0+353) using the Rstan package (version 2.26.13). We performed model comparison using the loo package (version 2.5.1).

#### 399 Statistical analyses

For all group-level differences, we computed two-sided *t*-tests. In the KOR dataset, owing to repeated measures, we fit linear mixed effects models to predict 1) inefficiency and 2) the probability of choosing the richer option. Independent variables were policy complexity, treatment (placebo

<sup>403</sup> or KOR), and time (baseline or post treatment), with a random intercept per participant. For the <sup>404</sup> other datasets (online non-clinical, clinical, and pramipexole), we fit a linear regression to predict <sup>405</sup> inefficiency. For the online non-clinical dataset, the dependent variables were policy complexity <sup>406</sup> and z-scored SHAPS. For the clinical dataset, they were policy complexity and group (control or <sup>407</sup> clinical). For the pramipexole dataset, they were policy complexity and treatment (placebo or <sup>408</sup> pramipexole). All analyses were 2-sided with an  $\alpha$  of 0.05.

# 409 Competing interests

A.D.K. has been a consultant for Eisai, Axsome, Big Health, Harmony, Idorsia, Jazz, Janssen, 410 Takeda, Millenium Merck, Neurocrine, Neurawell, Otsuka, Evecxia and Sage Research and re-411 ceived support from the NIH, the Ray and Dagmar Dolby Family Fund, Janssen, Jazz. Neurocrine. 412 Attune, Harmony, and Axsome. Over the past 3 years, D.A.P. has received consulting fees from 413 Boehringer Ingelheim, Compass Pathways, Engrail Therapeutics, Karla Therapeutics, Neumora 414 Therapeutics, Neurocrine Biosciences, Neuroscience Software, Otsuka, Sage Therapeutics, Sama 415 Therapeutics, Sunovion Therapeutics, and Takeda; he has received honoraria from the Ameri-416 can Psychological Association, Psychonomic Society and Springer (for editorial work) as well as 417 Alkermes; he has received research funding from the Brain and Behavior Research Foundation, 418 Dana Foundation, Wellcome Leap, Millennium Pharmaceuticals, and NIMH; he has received stock 419 options from Compass Pathways, Engrail Therapeutics, Neumora Therapeutics, and Neuroscience 420 Software. D.A.P. has a financial interest in Neumora Therapeutics, which has licensed the copyright 421 to the PRT through Harvard University. The interests of D.A.P. were reviewed and are managed by 422 McLean Hospital and Mass General Brigham in accordance with their conflict-of-interest policies. 423 No funding from these entities was used to support the current work, and all views expressed are 424 solely those of the authors. B.A.B. and S.J.G. declare no competing interests. 425

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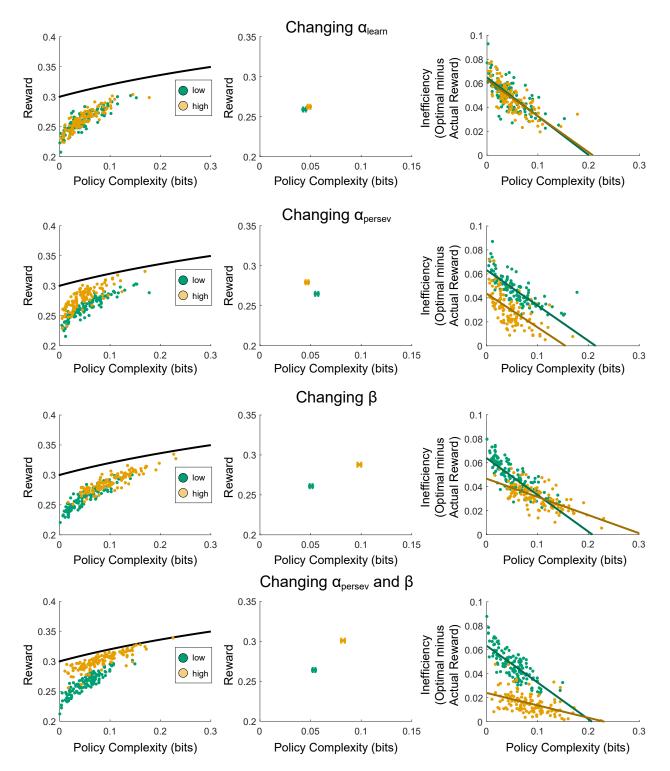
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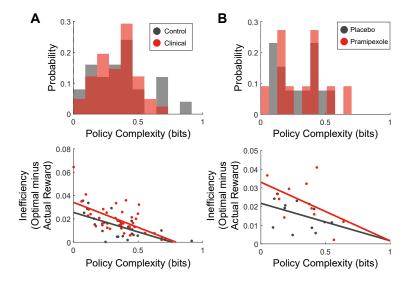
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# 580 Extended data



**Extended Data Figure 1: Effect of changing reinforcement learning model parameters on reward-complexity relationship and inefficiency.** Parameter values for simulation are given in Extended Data Table 3.



Extended Data Figure 2: Policy complexity and inefficiency for reanalyzed PRT datasets.

- A) Clinical dataset from [33] and [34].
- B) Pramipexole dataset from [37].

	Model			
Scale $\alpha_{\text{learn}}$	Scale $\alpha_{\text{persev}}$	Scale $\beta$	Expected log predictive density difference $\pm$ SE	Effective number of parameters (p_loo) $\pm$ SE
	х	х	$0.0 \pm 0.0$	$100.5 \pm 1.2$
х	х	х	$-1.8 \pm 2.5$	$107.6 \pm 1.3$
	х		$-14.7 \pm 6.1$	$112.9 \pm 1.4$
		х	$-29.2 \pm 7.8$	$100.8 \pm 1.1$
			$-43.1 \pm 10.1$	$98.5 \pm 1.1$

Extended Data Table 1: Model comparison using Pareto-smoothed importance sampling leave-one out cross validation. A difference in the expected log predictive density of 4 points provides evidence in favor of a model. The first model, which scales  $\alpha_{\text{persev}}$  and  $\beta$ , is favored over the second, which scales all parameters, since it provides similar expected predictive accuracy with fewer parameters.

Parameter	Pearson Correlation Between Actual and Recovered Parameter (95% CI)			
$lpha_{ m learn} \ lpha_{ m persev} \ eta$	$\begin{array}{c} 0.412 \ (0.183 - 0.607) \\ 0.941 \ (0.862 - 0.983) \\ 0.926 \ (0.884 - 0.952) \end{array}$			

Extended Data Table 2: Parameter recovery.

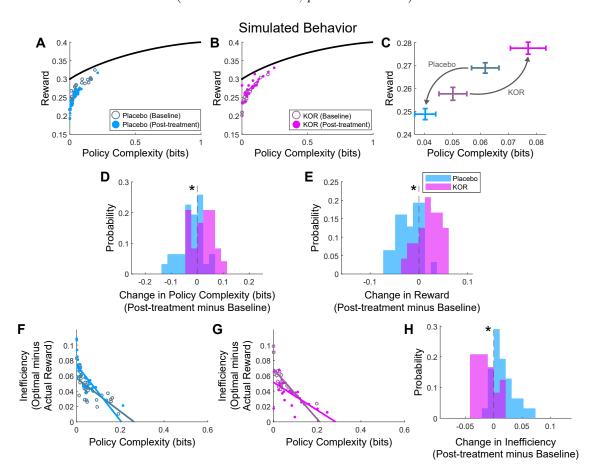
Simulation	Low Parameter	High Parameter	Fixed Parameters
Changing $\alpha_{\text{learn}}$	$\alpha_{\text{learn}} = 0.09$	$\alpha_{\text{learn}} = 0.18$	$\alpha_{\text{persev}} = 2.5 \cdot 10^{-4}$ $\beta = 1.4$
Changing $\alpha_{\text{persev}}$	$\alpha_{\text{persev}} = 2.5 \cdot 10^{-4}$	$\alpha_{\rm persev} = 2.5 \cdot 10^{-2}$	$\begin{aligned} \alpha_{\text{learn}} &= 0.14\\ \beta &= 1.4 \end{aligned}$
Changing $\beta$	$\beta = 1.4$	$\beta = 2.2$	$\alpha_{\text{learn}} = 0.14$ $\alpha_{\text{persev}} = 2.5 \cdot 10^{-4}$
Changing $\alpha_{\text{persev}}$ & $\beta$	$\alpha_{\text{persev}} = 2.5 \cdot 10^{-4}$ $\beta = 1.4$	$\alpha_{\text{persev}} = 2.5 \cdot 10^{-2}$ $\beta = 2.2$	$\alpha_{\text{learn}} = 0.14$

Extended Data Table 3: Parameters used for Extended Data Figure 1 simulations.

# <sup>581</sup> Supplementary information

# Reinforcement learning model of KOR antagonism: behavioral simulations on the Probabilistic Reward Task

Treatment increased policy complexity (Supplementary Figure 1D; mean change in policy com-584 plexity (post-treatment minus baseline)  $\pm$  SEM: placebo,  $-0.0214 \pm 7.69 \times 10^{-3}$ ; KOR,  $0.0269 \pm$ 585  $8.32 \times 10^{-3}$ ,  $p = 9.07 \times 10^{-5}$ ) and reward (Supplementary Figure 1E; mean change in reward (post-586 treatment minus baseline)  $\pm$  SEM: placebo,  $-0.0201 \pm 4.36 \times 10^{-3}$ ; KOR,  $0.0199 \pm 4.62 \times 10^{-3}$ , 587  $p = 7.21 \times 10^{-8}$ ). There was a significant decrease in inefficiency (Supplementary Figure 1H; mean 588 change in inefficiency (post-treatment minus baseline)  $\pm$  SEM: placebo,  $0.0161 \pm 3.50 \times 10^{-3}$ ; KOR, 589  $-0.0149 \pm 3.53 \times 10^{-3}$ ,  $p = 1.08 \times 10^{-7}$ ). Using the same linear mixed effects model to predict in-590 efficiency as a function of policy complexity, treatment, and time, we found a significant treatment 591  $\times$  time interaction (coefficient = -0.0346,  $p = 1.94 \times 10^{-7}$ ) and a significant policy complexity  $\times$ 592 treatment × time interaction (coefficient = 0.290,  $p = 8.66 \times 10^{-4}$ ). 593



#### Supplementary Figure 1: Simulation: changes in complexity and efficiency as a function of KOR antagonism.

A,B) Reward-complexity relationship for placebo and KOR groups, at baseline and post-treatment.

- C) Mean  $\pm$  SEM reward-complexity relationship as a function of treatment and time.
- D) Change in policy complexity as a function of treatment.
- E) Change in reward as a function of treatment.
- F-G) Inefficiency-complexity relationship for placebo and KOR groups.
- H) Change in inefficiency as a function of treatment.

#### <sup>594</sup> Anhedonia model from Huys et al (2013)

Huys et al (2013) developed a reinforcement learning model, fit to PRT data, describing anhedonia as a reduction in reward sensitivity [12]. We will show that the parameterization of reward sensitivity in this model produces a similar effect as our perseveration term.

In their model, reward prediction errors are computed by scaling binary reward, r, by a reward sensitivity parameter  $\rho$ . These reward prediction errors are multiplied by  $\epsilon$ , the learning rate, to iteratively update Q-values.

$$\delta = \rho r - Q(s, a)$$
$$\Delta Q(s, a) = \epsilon \delta$$

Given the reward structure in the PRT, this has the effect of scaling Q-values by  $\rho$  as  $\begin{bmatrix} \rho 0.2 & 0 \\ 0 & \rho 0.6 \end{bmatrix}$ . These Q-values are used to update choice weights, which are fed through a standard softmax decision rule to generate a policy:

$$W(s,a) = \gamma I(s,a) + \zeta Q(s,a) + (1-\zeta)Q(\bar{s},a)$$
  
$$\pi(a|s) \propto \exp(W(s,a))$$

The choice weights of this model contain two noteworthy components. The first is an instruction variable, I(s, a), where I(s, a) = 1 for the instructed action for a given stimulus, and 0 otherwise. Instructions are scaled by  $\gamma$  to capture how strongly instructions influence choice. The second component describes sensory ambiguity and allows Q-values for the non-presented stimulus -  $Q(\bar{s}, a)$ - to 'leak' into the policy. This is done by the  $\zeta$  parameter, where  $\zeta \in [0.5, 1]$ ;  $\zeta = 1$  describes no sensory ambiguity (only Q(s, a) contributes) and  $\zeta = 0.5$  describes complete sensory ambiguity  $(Q(s, a) \text{ and } Q(\bar{s}, a) \text{ contribute equally}).$ 

To see how this sensory ambiguity rule leads to perseveration, we can define  $\zeta = \theta + 0.5$ , where  $\theta \in [0, 0.5]$  and replace  $\zeta$  in the choice weights:

$$W(s,a) = \gamma I(s,a) + (\theta + 0.5)Q(s,a) + (1 - (\theta + 0.5))Q(\bar{s},a)$$

which we can rearrange as

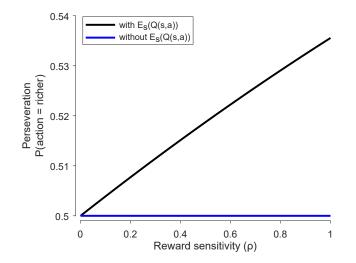
$$W(s,a) = \gamma I(s,a) + \theta(Q(s,a) - Q(\bar{s},a)) + 0.5Q(s,a) + 0.5Q(\bar{s},a)$$

Since the states are equiprobable (p(s) = 0.5), this latter set of terms,  $0.5Q(s, a) + 0.5Q(\bar{s}, a)$ , can be written as  $\mathbb{E}_s(Q(s, a)) = \sum_s p(s)Q(s, a)$ , the expected Q-value of taking action a. We can therefore write the weights as

$$W(s,a) = \gamma I(s,a) + \theta(Q(s,a) - Q(\bar{s},a)) + \mathbb{E}_s(Q(s,a))$$

Written this way, weights are a function of three variables: 1) I(s, a), the instructions, 2)  $Q(s, a) - Q(\bar{s}, a)$ , the difference in *Q*-values between the observed and non-observed states, to account for sensory ambiguity, and 3)  $\mathbb{E}_s(Q(s, a))$ , a state-independent value term which can be thought of as a kind of perseveration since it will generate an action bias.

We ran a simulation to gain an intuition into how  $\mathbb{E}_s(Q(s,a))$  engenders perseveration (Supplementary Figure 2). In this simulation,  $Q(s,a) = \begin{bmatrix} \rho 0.2 & 0 \\ 0 & \rho 0.6 \end{bmatrix}$ , meaning  $\mathbb{E}_s(Q(s,a)) \propto \rho 0.6$  for the richer option and  $\propto \rho 0.2$  for the leaner option. Intuitively,  $\mathbb{E}_s(Q(s,a))$  will proportionally favor the richer option as reward sensitivity grows, leading to an action bias.



Supplementary Figure 2: Increasing reward sensitivity ( $\rho$ ) in the Huys et al (2013) model leads to perseveration.

Increasing reward sensitivity  $(\rho)$  leads to increased perseveration (black). To demonstrate that the  $\mathbb{E}_s(Q(s,a))$  term is responsible for perseveration, we ran the same simulation with the  $\mathbb{E}_s(Q(s,a))$  removed (blue). For this simulation, we used  $\gamma = 1$  and  $\epsilon = 0.25$ . Findings were insensitive to choice of  $\gamma$  and  $\epsilon$ .